

FinSA CLIENT INFORMATION

Based on the legal requirements of Art. 8 et seq. of the Financial Services Act (FinSA), the following provides an overview of **Priva-Corp Advisors Ltd.** (hereinafter the «Financial Institution») and its asset management services.

A. Company Information

Address

Tödistrasse 36
CH-8002 Zurich

E-Mail: admin@privacorp.ch

Website: www.privacorp.ch

The Financial Institution was founded in June 2015.

Supervisory Authority and Audit Firm

Since the introduction of the new Financial Institutions Act (FinIA), all financial institutions require a license from the Swiss Financial Market Supervisory Authority FINMA to carry out their professional activities as asset managers. On January 22, 2024, the Financial Institution was granted said authorization as an asset manager. From that date, the Financial Institution has been included on the list of asset managers licensed by FINMA and supervised by a supervisory organization.

The Financial Institution is monitored by the supervisory organization AOOS – Schweizerische Aktiengesellschaft für Aufsicht and is audited by the auditing company TTP FINAUDIT c/o TTP Consulting Ltd. The addresses of AOOS – Schweizerische Aktiengesellschaft für Aufsicht and TTP FINAUDIT can be found below.

AOOS – Schweizerische Aktiengesellschaft für Aufsicht

Clausiusstrasse 50
CH-8006 Zurich

Phone: +41 44 215 98 98

E-Mail: info@aos.ch

Website: www.aos.ch

TTP FINAUDIT c/o TTP Consulting AG

Seestrasse 45
CH-8703 Erlenbach

Phone: +41 79 851 58 10

E-Mail: info@ttpconsulting.ch

Website: www.ttpconsulting.ch

Ombudsman

The Financial Institution is affiliated with the independent ombudsman's office Ombudsstelle Finanzdienstleister (OFD), which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the client and the Financial Institution should be settled by an ombudsman's office, if possible, within the framework of a conciliation procedure. The address of OFD is stated below:

Ombudsstelle Finanzdienstleister (OFD)
Bleicherweg 10
CH-8002 Zürich

Phone: +41 44 562 05 25
E-Mail: ombudsmann@ofdl.ch
Website: www.ofdl.ch

B. Information on the financial services offered

As part of its comprehensive range of services, the Financial Institution provides asset management services to its clients.

The Financial Institution does not guarantee specific returns, or make claim to a specific level of performance, for its services. The value of investments can go down as well as up.

The Financial Institution has all the necessary authorizations to perform the services described above.

C. Client segmentation

Financial service providers are required by law to classify all their clients into segments and comply with the relevant codes of conduct. The Financial Services Act (FinSA) provides for three client segments, distinguishing between «retail clients», «professional clients» and «institutional clients». A client classification is defined for each client as part of the cooperation with the Financial Institution.

D. Information on Risks and Costs

General risks associated with trading in financial instruments

Trading in financial instruments involves financial risks. The Financial Institution hands out the brochure «Risks Involved in Trading Financial Instruments» prior to concluding a contract. This brochure can also be found at www.swissbanking.org.

Clients of the Financial Institution may contact their client advisor at any time if they have any further questions.

Risks associated with the services offered

For a description of the various risks that may arise from the investment strategy for the client's assets, please refer to the relevant asset management agreements.

Information on Costs

A fee is charged for the services provided which is normally calculated based on assets under administration. For more detailed information, please refer to the relevant asset management contracts.

E. Information about Compensation from Third Parties

To avoid conflicts of interest, we refrain from accepting retrocession payments.

F. Information on the market offer considered

The Financial Institution basically follows an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments.